

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 197(b) or 1.97(c))

Docket No.
15436.249.42.1

In Re Application Of:

Chris Kiyoshi Togami et al.

Application No.
10/716,149

Filing Date
November 17,
2003

Examiner
James D. Stein

Customer No
022913

Group Art Unit
2874

Confirmation No.
5300

Title:

OPTICAL TRANSCIEVER MODULE ARRAY SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \$ _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \$ _____.
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.
- ☒ Payment by credit card using the payment option in E-File with RAM will be used to cover the payment of fees with respect to this Information Disclosure Statement.

/David A. Jones/ Reg. #50,004

Signature

Dated this 6th day of October, 2006.

DAVID A. JONES
Attorney for Applicant
Registration No. 50,004
Customer No. 022913